

**General Terms and Conditions of  
Business of the Exchange Operating  
Company, Wiener Börse AG**



*Translation of the General Terms and Conditions of Business of the Exchange Operating Company, Wiener Börse AG, for the Vienna Stock Exchange. The English translation serves only information purposes. In the event of a dispute the German version shall prevail.*

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a world of  
good deals.**

The Ministry of Finance in agreement with the Ministry for Economic Affairs granted Wiener Börse AG the license to operate and manage the Vienna Stock Exchange as a securities and general commodity exchange in accordance with Articles 2 et seq of the Stock Exchange Act 1989, as amended by Federal Law Gazette (FLG), I No. 11/1998.

This license to operate and manage the Vienna Stock Exchange as a securities exchange shall become effective after entry into force of Federal Act, FLG I no. 107/2017 for the operation of regulated markets pursuant to § 117 no. 6 first sentence, Stock Exchange Act 2018 (hereinafter Stock Exchange Act or Stock Exchange Act 2018).

The markets Official Market and Second Regulated Market operated by the exchange operating company Wiener Börse AG at the time of entry into force of Federal Act FLG I no. 60/2007 are regulated markets in the meaning of § 1 para. 2. Stock Exchange Act 1989 as amended by Federal Act FLG I no. 60/2007). With the entry into force of the Stock Exchange Act 2018 by FLG I no. 107/2017, only the Official Market shall continue to exist; this market is a regulated market in the meaning of § 1 no. 2 Stock Exchange Act 2018.

Pursuant to § 117 no. 6 third sentence Stock Exchange Act 2018 as amended by Federal Act FLG I no. 107/2017, the unregulated third market pursuant to § 69 Stock Exchange Act as amended by Federal Act FLG I no. 19/2007 shall be deemed a multilateral trading system after the entry into force of Federal Act FLG I No. 60/2007; a permit from the Financial Market Authority (FMA) pursuant to § 3 para. 3 Stock Exchange Act 2018 as amended by Federal Act FLG I no. 107/2017 shall not be required.

Pursuant to § 117 no. 7 Stock Exchange Act 2018 as amended by FLG I no. 107/2017, any membership effective at the time Federal Act FLG. I No. 60/2007 entered into force grants existing exchange members the right to continue to take part in trading in the regulated markets and multilateral trading facilities operated by the exchange operating company.

## **I. General**

### **§ 1 Scope of Application**

(1) These General Terms and Conditions of Business, as amended, shall apply to the operation and management of the Vienna Stock Exchange as a securities and general commodity exchange, to the operation and management of regulated markets and to the operation of multilateral trading facilities by the exchange operating company, Wiener Börse AG. These Terms shall regulate primarily the relationships governed by private law between the exchange operating company, on the one hand, and the exchange members, exchange traders and any other third parties, on the other.

(2) The Special Terms and Conditions of Business (Special Terms) shall also apply as a supplement to the General Terms and Conditions of Business. These are described in Annexes A and B, which constitute integral parts of these General Terms and Conditions of Business. Furthermore, the Schedule of Fees of Wiener Börse AG in its valid version shall also apply.

### **§ 2 Membership in the Vienna Stock Exchange**

(1) Membership in the Vienna Stock Exchange in its function as a securities exchange, on the one hand, and membership in the Vienna Stock Exchange in its function as a general commodity exchange, on the

other, is obtained separately for each exchange by admission as an exchange member and by entering into an agreement with the exchange operating company. The requirements, conditions and rules of membership as well as for participation in trading and in the clearing and settlement, and the obligations as an exchange member are defined in the Stock Exchange Act and in these General Terms and Conditions as well as in the respective, applicable Special Terms, all as amended. Membership in the Vienna Stock Exchange in its function as a securities exchange also includes the right to take part in the "Vienna MTF" operated as a Multilateral Trading Facility (MTF) based on the "Rulebook "Vienna MTF"", as amended.

(2) Applicants for membership must furnish proof by submitting the required materials and documents to the exchange operating company proving that at the time of acquiring membership, the applicant meets the requirements for obtaining membership as stipulated in the Stock Exchange Act and in the General Terms and Conditions of Business as well as in the Special Terms, and also has the required technical infrastructure and connections to the trading and settlement system for participating in trading and in the clearing and settlement system.

(3) Exchange members must immediately inform the exchange operating company in writing of any changes that may affect its status as an exchange member.

(4) The exchange operating company has the right to inspect at any time, as it may deem appropriate, compliance with the requirements for admission as an exchange member; in this context, the exchange members are under the obligation to provide the relevant information upon request of the exchange operating company. The exchange operating company is also authorized to request information from third parties in this context.

(5) Exchange members must hand over, at any time they are requested to do so, all relevant materials and documents that prove that they meet the requirements of the Stock Exchange Act, further applicable national and international legal provisions and the General Terms and Conditions of Business as well as the requirements of the Special Terms. This also includes the handing over of the relevant materials and documents by the exchange member within the scope of a due diligence audit carried out regularly by the exchange operating company.

(6) Exchange members must comply with all national and international legislation relating to legal transactions concluded on the Vienna Stock Exchange on the Vienna Stock Exchange and on the Vienna MTF, in particular, with the Stock Exchange Act, the Securities Supervision Act, applicable EU law, and the General Terms and Conditions of Business of the Vienna Stock Exchange including the Special Terms, all as amended.

(7) Should the exchange member fail to comply with the provisions of paras. 5 and 6, the exchange operating company shall have the right to impose the corresponding sanctions on the exchange member. These shall depend, among other things, on the severity of the breach committed by the exchange member, frequency of the breach if repeated, and the member's willingness to cooperate in the clarification of the matter and may even result in the rescission of membership.

(8) Any assignment of rights or transfer of obligations under exchange membership, the Stock Exchange Act or the General Terms and Conditions including the Schedule of Fees of Wiener Börse AG and the Special Terms by the exchange member shall be ruled out.

(9) The exchange operating company is under the obligation in accordance with applicable law to disclose and make accessible data relating to the exchange members to courts of law and authorities, in particular, to the Financial Market Authority and the Federal Minister for Science, Research and Economy.

(10) The exchange operating company is authorized to impose a stipulated fine on a member of the Vienna Stock Exchange in its function as a securities exchange if the exchange operating company ascertains that the exchange member has committed a violation of its exchange membership obligations. In the first instance of a violation, the fine shall be EUR 5,000 and for every further violation, the fine shall be EUR 10,000.

### **§ 3 Settlement Agents, Trading, and Settlement and Clearing Systems**

(1) The clearing and settlement of transactions executed on the Vienna Stock Exchange shall be handled by the clearing agent commissioned by the exchange operating company pursuant to § 9 para. 3 Stock Exchange Act 2018 and the respectively applicable Special Terms. Every exchange member that takes part in trading on the Vienna Stock Exchange must ensure the clearing and settlement of its transactions by participating directly or indirectly in the clearing and settlement system and by maintaining a valid clearing agreement with the clearing agent that carries out the clearing of the exchange trades in which the exchange member participates. This does not affect the right pursuant to § 30 Stock Exchange Act 2018.

(2) Trading is done through an automated trading system. The exchange operating company determines which automated trading system is to be used for trading on the Vienna Stock Exchange.

(3) The exchange member is granted technical access to the interface(s) for trading and/or clearing and settlement under separate agreements to be concluded with the providers of the trading system and the clearing and settlement system that have been commissioned by the exchange operating company. All costs for setting up the technical connections for participating in the trading and/or clearing and settlement and the technical connections to the trading and/or clearing and settlement system(s) including data lines shall be borne by the exchange member.

(4) The exchange operating company has the right to contract other clearing agents and to use other clearing and settlement systems for trading, clearing and settlement, notifying any changes in advance with a reasonable period of notice.

(5) The exchange member is under the obligation to maintain technical installations and connections required for participating in trading and/or clearing and settlement on the exchange at all times.

(6) The exchange member shall at all times comply with the technical requirements, guidelines and instructions of the exchange operating company as well as any related instructions of the exchange operating company without delay. The exchange operating company is authorized to inspect the technical installations of the exchange member at any time to ascertain their proper working order. The exchange operating company has the right to order any defects repaired without delay at the expense of the exchange member if such defects may affect the trading and settlement system.

(7) The exchange member shall refrain from any actions or behavior (especially relating to entering quotes) that may have a negative influence on the trading and/or settlement and clearing systems or could disrupt the smooth operation of the trading and/or settlement and clearing system(s). The exchange member shall immediately notify the exchange operating company if trading or clearing and settlement is hindered or not possible at all due to technical disruptions. Any emergency measures taken by the exchange operating company in the case of disruptions to trading and/or clearing and settlement shall be binding on the exchange member. The same shall apply to all measures taken by the exchange operating company for maintaining or reinstating undisrupted trading and clearing operations.

### **§ 4 Power of Attorney for Exchange Trading**

(1) The power of attorney for exchange trading shall authorize the trader to carry out all the usual exchange trading and to conclude transactions through a multilateral trading facility (MTF) operated by the exchange operating company without any restrictions regarding amounts or types of transactions. No restrictions on the scope of the power of attorney for exchange trades shall be permitted.

(2) The forms provided by the exchange operating company for granting the power of attorney for exchange trading shall be used.

- (3) The power of attorney for exchange trading shall expire upon publication by the exchange operating company pursuant to para. 4 if
1. the party having conferred the power of attorney informs the exchange operating company of the revocation of the power of attorney in writing;
  2. the membership of the party having conferred the power of attorney expires;
  3. the person to whom the power of attorney has been conferred informs the exchange operating company in writing that he or she is relinquishing the power of attorney;
  4. the person to whom the power of attorney has been conferred loses the authorization as an exchange trader.
- (4) The conferment and expiration of the power of attorney shall be promulgated pursuant to § 6.

## **§ 5 Application for Admission as an Exchange Trader**

- (1) The application for admission as a trader to the exchange (exchange trader) (§§ 35 and 36 Stock Exchange Act 2018) shall be submitted in writing using the forms provided for this purpose.
- (2) The application must be signed by the applicant (exchange trader) and the exchange member.
- (3) Furthermore, the following documents shall be attached to the application for admission as a trader:
1. A power of attorney pursuant to § 4, unless the applicant is the only authorized representative according to the relevant rules of civil and commercial law;
  2. In the case of employees of an exchange member, a declaration expressly confirming that the applicant for exchange trader status is an employee of the exchange member.
  3. In the case of persons who act on behalf of the exchange member under any other type of legal relationship, information on the legal grounds on which such persons acts as well as an explicit declaration stating that the person is subject to follow the instructions of the exchange member, will act exclusively through the technical infrastructure of the exchange member at its locations, is covered by the compliance organization of the exchange member and that the exchange member is liable for the actions of said person in the same manner as for employees.
- (4) Prior to admission as an exchange trader, applicants must prove that they have the required qualifications pursuant to § 36 para. 1 Stock Exchange Act based on these General Terms and Conditions of Business and the Special Terms.
- (5) If trading takes place through an automated trading system, the exchange members shall apply for a dedicated access code to the trading system for the concerned trader (individual user identification with trading-specific access rights) which is to be used exclusively by the concerned trader for accessing the trading system.
- (6) Exchange members must ensure that only the properly authorized exchange traders have access to the trading system exclusively via the access rights assigned to them individually. If access rights to the trading system are not used in accordance with the rules, the exchange operating company shall have the right to interrupt the access of an exchange member or exchange trader to the trading system.
- (7) The exchange members are under the obligation to immediately and verifiably notify the exchange operating company of any changes to the data provided in the application. The exchange operating company has the right to review at any time and of its own initiative whether the conditions on which admission as an exchange trader was granted are met; the exchange member and the exchange trader are under the obligation to provide information upon the request of the exchange operating company in this context. The exchange operating company is also authorized to request information from third parties.
- (8) An exchange trader can only be admitted for one exchange member.

## **§ 6 Official Bulletin of the Exchange**

- (1) Unless otherwise stipulated by the Stock Exchange Act, announcements made by the exchange operating company shall be published on the relevant page of the website of the exchange operating company, [www.wienerborse.at](http://www.wienerborse.at).
- (2) The Official Bulletin shall publish the General Terms and Conditions of Business of the Exchange Operating Company including any amendments, all other general instructions issued by the exchange operating company as well as all important resolutions, decisions and facts that are of relevance for exchange trading, the operation and management of the Vienna Stock Exchange, the operation and management of regulated markets and for the operation of a multilateral trading facility.
- (3) If it is necessary to notify exchange members of any resolutions and facts relating to exchange trading on the same day and these are to take effect, these must be published through the relevant trading system in accordance with § 7 Trading Rules for the Trading System Xetra<sup>®</sup>.
- (4) All announcements must be published in the Official Bulletin at the latest one trading day before the day on which they are to take effect; an exception shall be made for publications regarding events that require immediate action by the exchange operating company (e.g. trading suspension).

## **§ 7 Corrections to Quotations and Prices**

- (1) Corrections to already published quotations and prices including any trade attributes shall only be permissible if they refer to deviations from quotations and prices that have already been determined.
- (2) Corrections shall be published immediately.

## **§ 8 Liability**

- (1) The exchange operating company shall only be liable vis-à-vis exchange members, traders and any other persons or contractual partners regarding its activities in connection with the management and operation of the Vienna Stock Exchange, the operation and management of regulated markets and the operation of multilateral trading facilities only for damages caused by gross negligence and/or willful conduct of its bodies, assistants or any other persons acting on its instructions and such conduct is proven. Liability for consequential damages and/or for profits not realized shall be limited to cases of proven willful conduct.
- (2) The exchange operating company shall not be responsible or held liable for damages that do not involve negligence or fault, or that arise from disruptions of operations due to force majeure, or from other events over which it does not have influence. Furthermore, the exchange operating company limits its liability for damages resulting from disruptions of operations to acts of willful conduct or gross negligence. The same shall apply if the exchange operating company closes down or restricts operations completely or partially on certain days or periods.
- (3) Claims for damages shall be subject to a statute of limitations of one year as of the time the event becomes known from which the claim for damages against the exchange operating company is derived, at the latest, however, within two years after the occurrence of such an event.

## **§ 9 Applicable Law and Jurisdiction**

- (1) The exchange membership and all of the General Terms and Conditions of Business of the Exchange Operating Company and all rights and obligations deriving therefrom shall be subject to Austrian law.
- (2) Place of jurisdiction shall be Vienna unless the Court of Arbitration of the Vienna Stock Exchange has been accorded. The competent commercial courts shall have jurisdiction over disputes.

## § 10 Exchange Venue

The venue of the exchange shall be in Vienna.

## § 11 Amendments to the General Terms and Conditions of Business

The contractual partners of the exchange operating company shall be notified of any amendments to the General Terms and Conditions of Business of the Exchange Operating Company, i.e. these General Terms and Conditions including all applicable Special Terms and Conditions of Business (Special Terms) by publication in the exchange's Official Bulletin. Amendments shall be considered approved if the contractual partners of the exchange operating company do not raise objections in writing within 14 days. The refusal of the contractual partner of the exchange operating company to accept amendments by raising objections to reasonable and acceptable modifications to the General Terms and Conditions of Business shall give the exchange operating company the right to cancel the concerned party's exchange membership and shall furthermore constitute a material breach entitling the exchange operating company to terminate the contract with immediate effect.

## II. Securities Exchange

### § 12 Cash Market

- (1) Financial instruments with the exception of options and (financial) futures contracts are traded and settled on the cash market.
- (2) "Securities" in the meaning of these General Terms and of the Special Terms as well as of the Schedule of Fees of Wiener Börse AG are financial instruments pursuant to § 1 no. 7 lit. a, b and c of the Securities Supervision Act 2018. If securities are represented by certificates, the same Terms and Conditions shall apply to the certificates as apply to the securities represented by the certificates.

### § 13 Application for Membership in the Securities Exchange

- (1) Membership may be granted for
  1. Trading on the cash market;
  2. Clearing and settlement of transactions concluded on the cash market.

The application for membership in the securities exchange shall be submitted in writing.

- (2) The application shall include:
  1. The most recent financial statements;
  2. An excerpt from the commercial register or an equivalent document;
  3. Proof of the permits required pursuant to § 29 para. 1 Austrian Stock Exchange Act 2018;
  4. The declaration of acceptance of membership for the trading system for the instruments for which an application has been submitted;
  5. A declaration to participate in the clearing system directly either as a clearing member (general clearing member or direct clearing member) or indirectly as a non-clearing member;
  6. An admission application for at least one trader to the trading floor or for access to the automated trading system through the relevant exchange member;



(3) Applicants who wish to take part indirectly in the clearing and settlement system must present a clearing agreement with the clearing house evidencing their participation as a direct clearing member or as a general clearing member.

(4) Applicants who wish to take part in the clearing and settlement system only indirectly, recognized investment firms and other firms with their registered office in a third country that is not represented on the Basel Committee on Banking Supervision must submit a declaration by another authorized exchange member in the meaning of § 29 para. 4 Austrian Stock Exchange Act 2018 (General Clearing Member) who directly participates in the clearing system stating that the said member accepts the obligation to clear the applicant's trades.

(5) If a member of the securities exchange wished to become a market maker or liquidity provider for certain instruments (also in the form of a specialist), an additional agreement with the exchange operating company to this effect shall be concluded; the securities exchange member shall declare in writing that it assumes the obligations established for market makers (also those applicable to specialists).

(6) The admission to membership shall take effect when the collateral stipulated pursuant to the "Rules for the Clearing and Settlement of Trades concluded on the Cash Market of the Vienna Stock Exchange in its Function as a Securities Exchange" and in the relevant applicable General Terms and Conditions of Business of CCP.A ("Business Terms of CCP.A) has been deposited and evidence thereof has been furnished, and it has been established that the member's technical equipment required for participating in the trading and/or clearing system for the instruments for which admission has been applied is adequate and ensures uninterrupted trading and settlement.

## § 14 Qualification Test for Traders

(1) Evidence of the qualification and experience required according to § 36 para. 1 of the Stock Exchange Act 2018 shall be submitted to the exchange operating company.

(2) The specialized knowledge required pursuant to § 36 para. 1 Stock Exchange Act 2018 shall be generally assumed to be given if the exchange operating company has received proof that the person concerned has the professional training entitling him or her to trade in financial instruments in a member state that belongs to the European Economic Area or in a state represented on the Basel Committee for Banking Supervision and also has the required knowledge of the special features of the Austrian capital market. Passing the Cash Market Trader Examination shall be deemed proof of the qualification required pursuant to § 36 para. 1 Stock Exchange Act 2018 for traders on the cash market. The experience required according to § 36 para. 1 Stock Exchange Act 2018 shall also be understood to include the knowledge required to use the trading and/or clearing systems.

(3) The exam mentioned under para. 2 shall be held by a commission set up by the exchange operating company.

## § 15 Repealed

## § 16 Price Determination in Trading through Intermediaries

(1) In those market segments in which trading takes place through intermediaries (Official Brokers), the prices of negotiable instruments shall be fixed by the intermediaries by posting the prices. After the exchange closes, the intermediaries shall hand over the prices determined during the trading session (including all price attributes) compiled into tables (price list printout) along with a signed confirmation of the integrity of the data to the exchange operating company

- (2) Traders have the right to raise objections regarding the correctness of a price posted within five minutes after a price has been posted by notifying the exchange operating company. The exchange operating company shall reach a decision on the objection immediately based on the intermediaries' order situation.
- (3) Even if no objections have been raised, corrections may be made if the price posted does not correspond to the order situation.
- (4) If a quotation (price) is determined that deviates from a posted quotation or if no quotation is determined, this fact shall be announced on the exchange trading floor and published in the price list of the exchange.

## § 17 Insolvency

- (1) The insolvency of an exchange member (person admitted as trader to the exchange) shall be declared and made public by the exchange operating company in the following cases:
- a) Whenever an exchange member (person admitted as trader to the exchange) gives notice of having become insolvent;
  - b) Whenever the clearing agent notifies default pursuant to the relevant provisions of the Business Terms of CCP.A;
  - c) Whenever there is sufficient authenticated evidence that indicates the insolvency of an exchange member (person admitted to trading).
- (2) In the case of transactions concluded for “cash” or “by third party fulfillment”, the declaration of insolvency issued by the exchange operating company for one party shall not entitle the other contractual party to withdraw from the contract. The other contractual party shall be obliged to immediately cover any open transactions with the insolvent party by way of forced sale on their due dates. In the case of a forward transaction for which it is not possible to conduct a forced sale, it shall conclude equivalent transactions for the same due dates and at the same price, or if the forced sale fails to be completed in full or in part within three exchange trading days, then the transaction shall be considered due on the third exchange trading day after declaration of insolvency. Any difference that arises in favor of one of the contractual parties from the realization of the forced sale after taking into account their costs, or from the difference between the contract price and the price on the due date (if no price is fixed on this day, the last price fixed) shall be due immediately irrespective of the original agreed-on time of fulfillment.
- (3) In the case of CCP-eligible securities, the procedure set out in the relevant applicable Business Terms of CCP.A shall apply in the case of insolvency.

## III. Commodity Exchange

### § 18 Application for Membership in the Commodity Exchange

The application for membership in the commodity exchange shall be submitted in writing. The application shall include the documents needed to furnish proof that the requirements of § 32, Stock Exchange Act 2018 are met (e.g. excerpt from the Companies Register, copy of business license, etc.).

## Special Terms and Conditions of Business (Special Terms) of the Vienna Stock Exchange in its Function as a Securities Exchange

### § 1 Special Terms

The provisions listed below shall be applicable as Special Terms and Conditions of Business (Special Terms), all as amended:

- 2.1 “Trading Rules for the Automated Trading System, Xetra<sup>®</sup> (Exchange Electronic Trading)”
- 2.6 “Implementation Rules pursuant to § 52 Stock Exchange Act”;
- 2.7 “Rules for the Clearing and Settlement of Trades Executed on the Cash Market of the Vienna Stock Exchange”;
- 2.15 “Rules for the Technical Installations for the Trading System”;
- 2.16 “Rules regarding the Content, Periods and other Disclosure Requirements relating to the Quarterly Reports of Issuers on the prime market”;

### § 2 Scope of the General Terms and Conditions of Business

The scope of application and the contents of the provision contained in the General Terms and Conditions of Business shall not be affected by the provisions listed in this Annex.

## Special Terms and Conditions of Business (Special Terms) of the Vienna Stock Exchange in its Function as a Commodity Exchange

### § 1 Special Terms

(1) The provisions listed below shall be applicable as Special Terms and Conditions of Business (Special Terms), all as amended:

- 3.1. “Trading Hours of the Commodity Exchange”;
- 3.2. “Regulation on Official Expert Opinions and the Drawing of Samples”;
- 3.3. “Rules for the Participation in Trading in Electric Power Products and in the Settlement of Exchange Transactions concluded in Trading in Electric Power Products on the Vienna Stock Exchange in its Function as a General Commodity Exchange – Participation Rules Electric Power”;
- 3.4. “Rules for the Trading in Cash Market Products for Electric Power on the Vienna Stock Exchange in its Function as a General Commodity Exchange – Trading Rules Cash Market Electric Power”;
- 3.5. Rules for the Clearing and Settlement of Exchange Transactions concluded in Trading in Electric Power on the Cash Market and Derivatives Market of the Vienna Stock Exchange as a General Commodity Exchange – Clearing and Settlement Rules Electric Power”;

(2) Furthermore, the following rules shall also be applicable as Special Terms and Conditions of Business (Special Terms), as amended:

1. “General Terms (Rules) for the Trading of Goods on the Vienna Stock Exchange”:  
Approved by resolution of the General Assembly of the Council of the Vienna Stock Exchange (*Wiener Börsekammer*) on 1 December 1983;
2. “General and Special Terms (Rules) for the Trading of Timber on the Vienna Stock Exchange”:  
Approved and determined as exchange rules by resolution of the General Assembly of the Council of the Vienna Stock Exchange (*Wiener Börsekammer*) of 21 February 1973;  
Amendment effective as of 1 January 2007 by resolution of the management board of the exchange operating company of 30 November 2006 (amendment was approved by Official Notice of the Federal Ministry for the Economy and Labor of 8 September 2006 pursuant to § 13 Stock Exchange Act).
3. “Special Terms (Rules) for the Trading of Threads and Yarns, Castors and Woven Textiles, Remnants of Cotton, Synthetic Fibers, and Mixtures of Cotton and Synthetic Fibers on the Vienna Stock Exchange”:  
Adopted as exchange rules by resolution of the General Assembly of the Council of the Vienna Stock Exchange (*Wiener Börsekammer*) on November 27, 1979;
4. “Special Terms (Rules) for the Trading of Threads and Yarns, Castors and Woven Textiles, Remnants of Sheep Wool or Mixtures of Sheep Wool and other Fibers on the Vienna Stock Exchange”:  
Approved by resolution of the General Assembly of the Council of the Vienna Stock Exchange (*Wiener Börsekammer*) on November 30, 1982;
5. “Special Terms (Rules) for the Trading of Sugar (cane sugar and white sugar) and Molasses on the Vienna Stock Exchange”:

Adopted as exchange rules by resolution of the General Assembly of the Council of the Vienna Stock Exchange (*Wiener Börsekammer*) on 27 November 1979;

6. “Special Terms (Rules) for the Trading of Rice on the Vienna Stock Exchange”:  
Approved by resolution of the General Assembly of the Council of the Vienna Stock Exchange (*Wiener Börsekammer*) on 8 March 1965.

## **§ 2 Scope of the General Terms and Conditions of Business**

The scope of application and the contents of the provision contained in the General Terms and Conditions of Business shall not be affected by the provisions listed in this Annex.

Promulgated by Official Notice of the exchange operating company, Wiener Börse AG, No. 2 of 6 April 1998 and amended by No. 574 of 1 September 1998, No. 701 of 12 October 1998 (amendment effective as of 15 October 1998), No. 750 of 23. October 1998 (amendment effective as of 30 October 1998), No. 903 of 21 December 1998, No. 535 of 18 October 1999, No. 551 of 22 October 1999 (amendment effective as of 5 November 1999), No. 11 of 9 January 2002, No. 49 of 17 January 2005 (amendment effective as of 31 January 2005), No. 1672 of 25 October 2007 (amendment effective as of 1 November 2007), No. 1985 of 10 December 2007 (amendment effective as of 1 January 2008), No. 966 of 15 June 2009 (amendment effective as of 22 June 2009), No. 1264 of 18 August 2011 (amendment effective as of 1 September 2011), No. 1421 of 2 October 2012 (amendment effective as of 10 October 2012), No. 1002 of 26 June 2014 (amendment effective as of 30 June 2014), No. 1241 of 14 August 2014 (amendment effective as of 18 August 2014), No. 2044 of 21 December 2017 (amendment effective as of 3 January 2018), No. 1353 of 28 June 2019 (amendment effective as of 1 July 2019) and No. 2317 of 14 November 2019 (amendment effective as of 18 November 2019).