

Verpflichtungen als Betreuer im Handel mit Wertpapieren (Obligation's as Liquidity Provider in Securities Trading) / Stand (as of): 01.07.2020

Handelssystem XETRA / Handelsverfahren Handelsverfahren Auktion mit Betreuung (Trading System XETRA / Auction with Liquidity Providers)

| Instr.-Grp | ISIN | Instrument | Xetra® Code | | | | | TOTAL | Max.-Spread in % | Min.-Size in Stk. (pieces) | Max.-Spread in EUR - Einschleifregelung (fix-Spread with absolute value) | |
|--------------|--------------|---------------------------------------|-------------|-------|-------|-------|-------|-------|------------------|----------------------------|--------------------------------------------------------------------------------|--|
| | | | | BTVIN | BKSKL | HAUZI | OBBLI | | | | | |
| AOD | AT0000625504 | Bank für Tirol und Vorarlberg AG, St. | BTS | B | | | | 1 | 5 % | 350 | | |
| AOD | AT0000625538 | Bank für Tirol und Vorarlberg AG, Vz. | BTV | B | | | | 1 | 5 % | 350 | → | |
| AOD | AT0000624705 | BKS Bank AG, St. | BKS | | B | | | 1 | 5 % | 750 | → | |
| AOD | AT0000624739 | BKS Bank AG, Vz. | BKV | | B | | | 1 | 5 % | 800 | → | |
| AOD | AT0000762406 | Frauenthal Holding AG | FKA | | | B | | 1 | 5 % | 550 | → | |
| AOD | AT0000625108 | Oberbank AG, St. | OBS | | | | B | 1 | 5 % | 100 | | |
| AOD | AT0000625132 | Oberbank AG, Vz. | OBV | | | | B | 1 | 5 % | 100 | → | |
| AOD | AT0000741301 | Wiener Privatbank SE | WPB | | | B | | 1 | 5 % | 1.800 | → | |
| DIMA | AT0000698253 | Hutter & Schrantz AG | HUS | | | B | | 1 | 5 % | 600 | | |
| DIMA | AT0000A021K7 | Hutter & Schrantz Stahlbau AG | HST | | | B | | 1 | 5 % | 400 | | |
| TOTAL | | | | 10 | 2 | 2 | 4 | 2 | 10 | | | |

ANPASSUNG /
ADJUSTMENT !!!

| | |
|-----|------------------------------------------------|
| B | = Betreuer in der Auktion / Liquidity Provider |
| B*) | = Widerruf per Ablauf ... |