

# Market Maker-Verpflichtungen im Handel mit Wertpapieren (Market Maker-Obligation's in Securities Trading) / Stand (as of): 01.07.2022

Handelssystem XETRA / Handelsverfahren Fortlaufender Handel (Trading System XETRA / Continuous Trading)

## I. equity market / prime market

ISIN	ATX/ ATX5	Instrument	Xetra® Code	Market Makers																TOTAL	M	M	M	→
				BALFR	EBOWI	HAUZI	HREDB	ICFFR	JBBHH	JBBFR	LSTDU	SEYFR	CENWI	SOGFR	SISDB	TRCAM	WOCPR	XMSPA	Max.-Spread in %					
AT000ADDIK00		Addiko Bank AG	ADKO		M								M							2	2,70 %	842		→
AT000AGRANA3		AGRANA Beteiligungs-AG	AGR		M								M		M					4	2,70 %	524		→
AT00000AMAG3		AMAG Austria Metall AG	AMAG		M								M							2	2,70 %	261		→
AT0000730007	X	Andritz AG	ANDR		M								M	M						3	0,65 %	877		→
AT0000969985	X	AT & S Austria Technologie & Systemtechnik AG	ATS		M								M	M						3	0,85 %	510		→
AT0000BAWAG2	X5	BAWAG Group AG	BG		M								M	M						3	0,65 %	819		→
AT0000641352	X	CA Immobilien Anlagen AG	CAI		M								M	M						3	0,85 %	822		→
AT0000818802	X	DO & CO AG	DOC		M	M							M	M						4	1,30 %	207		→
AT0000652011	X5	Erste Group Bank AG	EBS		M								M	M			M			4	0,65 %	1.393		→
AT0000741053	X	EVN AG	EVN		M	M							M	M						5	0,85 %	1.205		→
AT00000FACC2		FACC AG	FACC		M	M							M	M						4	2,70 %	1.299		→
AT00000VIE62		Flughafen Wien AG	FLU		M	M							M	M						4	2,70 %	273		→
ATFREQUENT09		FREQUENTIS AG	FQT		M					M	M		M	M						4	2,70 %	300		→
AT0000A21KS2	X	IMMOFINANZ AG	IIA		M	M							M	M						3	0,65 %	2.413		→
AT000KAPSCH9		Kapsch TrafficCom AG	KTCG		M								M	M						3	2,70 %	694		→
AT0000644505	X	Lenzing AG	LNZ		M								M	M						3	0,85 %	321		→
ATMARINOMED6		Marinomed Biotech AG	MARI		M							M								2	2,70 %	130		→
AT0000938204	X	Mayr-Melnhof Karton AG	MMK		M				M				M	M						4	1,30 %	104		→
AT0000743059	X5	OMV AG	OMV		M								M	M						3	0,65 %	768		→
AT0000APOST4	X	Österreichische Post AG	POST		M								M	M	M					3	0,85 %	925		→
AT0000758305		PALFINGER AG	PAL		M				M				M	M						4	2,70 %	394		→
AT0000KTMI02		PIERER Mobility AG	PMAG	M									M							2	2,70 %	139		→
AT0000A00XX9		POLYTEC Holding AG	PYT		M								M							2	2,70 %	1.552		→
AT0000609607		Porr AG	POS		M	M				M			M	M	M					6	2,70 %	720		→
AT0000606306	X	Raiffeisen Bank International AG	RBI		M								M	M						3	0,85 %	2.337		→
AT0000922554		Rosenbauer International AG	ROS	M	M	M							M	M	M					6	2,70 %	255		→
AT0000652250	X	S IMMO AG	SPI		M	M							M	M						4	0,85 %	1.104		→
AT0000946652	X	SCHOELLER-BLECKMANN OILFIELD EQUIPMENT AG	SBO		M								M	M						3	1,30 %	302		→
AT0000785555		Semperit AG Holding	SEM		M								M	M						4	1,80 %	478		→
AT000000STR1		STRABAG SE	STR		M								M	M	M					3	1,80 %	221		→
AT0000720008		Telekom Austria AG	TKA		M								M	M	M					3	1,30 %	2.657		→
AT0000815402		UBM Development AG	UBS		M	M							M	M						4	2,70 %	269		→
AT0000821103	X	UNIQA Insurance Group AG	UQA		M								M	M						3	0,85 %	3.682		→
AT0000746409	X5	VERBUND AG	VER		M								M	M						3	0,65 %	368		→
AT0000908504	X	VIENNA INSURANCE GROUP AG Wiener Versicherung Gruppe	VIG		M								M	M						3	0,85 %	1.137		→
AT0000937503	X5	voestalpine AG	VOE		M								M	M						3	0,65 %	1.675		→
AT0000827209		Warimpex Finanz- und Beteiligungs AG	WXF		M								M							2	2,70 %	9.891	€ 0,10	→
AT0000831706	X	Wienerberger AG	WIE		M								M	M	M					4	0,65 %	1.721		→
AT0000837307		Zumtobel Group AG	ZAG		M								M	M						3	2,70 %	1.303		→
<b>TOTAL</b>				<b>39</b>	<b>2</b>	<b>38</b>	<b>8</b>	<b>0</b>	<b>2</b>	<b>1</b>	<b>5</b>	<b>1</b>	<b>4</b>	<b>38</b>	<b>31</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>131</b>				

X = ATX-Stocks  
X5 = ATXfive-Stocks

M = Market Maker  
M\*) = Widerruf per Ablauf ...

## II. equity market / standard market continuous

ISIN	Instrument	Xetra® Code	Market Makers																TOTAL	Max.-Spread in %	Min.-Size in Stk. (pieces)	Max.-Spread in EUR - Einschleifregelung (fix-Spread with absolute value)	ANPASSUNG (ADJUSTMENT) !		
			BALFR	EBOWI	HAUZI	HREDB	ICFFR	JBBBH	JBBFR	LSTDU	SEYFR	CENWI	SOGFR	SISDB	TRCAM	WOCPR	XMSPA								
AT0000A1PY49	CLEEN Energy AG	CLEN		M			M														3	4 %	991		→
NL0012650360	RHI Magnesita N.V., Depository Interests	RHIM				M															2	4 %	414		→
CH0396131929	SunMirror AG (Inhaberaktien zu CHF 1.00 Nennwert)	ROR1	M																		1	4 %	286		→
AT0000834007	Wolford AG	WOL			M																1	4 %	1.786		→
<b>TOTAL</b>			<b>4</b>	<b>1</b>	<b>1</b>	<b>1</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>7</b>				

## III. equity market / direct market plus continuous

ISIN	Instrument	Xetra® Code	Market Makers																TOTAL	Max.-Spread in %	Min.-Size in Stk. (pieces)	Max.-Spread in EUR - Einschleifregelung (fix-Spread with absolute value)	ANPASSUNG (ADJUSTMENT) !			
			BALFR	EBOWI	HAUZI	HREDB	ICFFR	JBBBH	JBBFR	LSTDU	SEYFR	CENWI	SOGFR	SISDB	TRCAM	WOCPR	XMSPA									
AT0000616701	ATHOS Immobilien AG	ATH																				1	5 %	205		→
ATBIOGENA005	Biogena Group Invest AG (auf Inhaber lautende nennbetragslose Stammaktien)	BIO	M																			1	5 %	4.238	€ 0,25	→
<b>TOTAL</b>			<b>2</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2</b>					

## IV. equity market / direct market continuous

ISIN	Instrument	Xetra® Code	Market Makers																TOTAL	Max.-Spread in %	Min.-Size in Stk. (pieces)	Max.-Spread in EUR - Einschleifregelung (fix-Spread with absolute value)	ANPASSUNG (ADJUSTMENT) !			
			BALFR	EBOWI	HAUZI	HREDB	ICFFR	JBBBH	JBBFR	LSTDU	SEYFR	CENWI	SOGFR	SISDB	TRCAM	WOCPR	XMSPA									
CH0505534542	CAG International AG (Namensaktien zu je CHF 0,12 Nennwert)	CAG					M															1	5 %	5.000	€ 0,10	
DE000A2G8258	JJ Entertainment SE (Namensaktien zu je EUR 1,00 Nennwert)	JJSE					M															1	5 %	5.000	€ 0,10	
GB00BLH09688	Lekta Therapy Ltd (par-value registered shares)	LEK					M															1	5 %	720		→
GB00BD5BTL23	LOCOSOCO GROUP PLC (par-value registered shares)	LOCO					M															1	5 %	5.000	€ 0,25	
GB00BGMGZR93	WEALTH DRAGONS GROUP PLC (par-value registered shares)	WDG					M															1	5 %	5.000	€ 0,25	
<b>TOTAL</b>			<b>5</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>5</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>5</b>					

M = Market Maker  
M\*) = Widerruf per Ablauf ...

## V. structured products / exchange traded funds

Instrument		Xetra® Code	LSTDU	TOTAL
*)	*) eigene Aufstellung / separate list	*)	M	136
<b>TOTAL</b>		<b>136</b>	<b>136</b>	<b>136</b>

Lang & Schwarz  
TradeCenter AG & Co.  
KG

## equity market / global market

Instrument		Xetra® Code	BALFR	HREDB	LSTDU	TOTAL
*)	*) eigene Aufstellung / separate list	*)	M	M	M	1054
<b>TOTAL</b>		<b>782</b>	<b>272</b>	<b>1</b>	<b>781</b>	<b>1054</b>

Baader Bank AG  
HRTEU Limited  
Lang & Schwarz  
TradeCenter AG & Co.  
KG

## bond market

Instrument		Xetra® Code	RAZWI	TOTAL
*)	*) eigene Aufstellung / separate list	*)	M	39
<b>TOTAL</b>		<b>39</b>	<b>39</b>	<b>39</b>

Raiffeisenbank  
International AG

M = Market Maker  
M\*) = Widerruf per Ablauf ...

MiFID Market Maker-Verpflichtungen im Handel mit Wertpapieren (MiFID Market Maker Obligation's in Securities Trading) / Stand (as of): 01.07.2022

Handelssystem XETRA / Handelsverfahren Fortlaufender Handel ( Trading System XETRA / Continuous Trading)

**equity market / prime market**

ISIN	ATX/ ATX5	Instrument	Xetra® Code					TOTAL	Max.-Spread in %			Min-Size in Stk. (pieces) Max.-Spread absolut in EUR (fix Spread with absolute value in EUR)	ANPASSUNG (ADJUSTMENT) !
				HREDB	SISDB	TRCAM	XMSPA		MF	MF	MF		
AT000ADDIK00		Addiko Bank AG	ADKO	MF			MF	2	5,00 %	234		→	
AT000AGRANA3		AGRANA Beteiligungs-AG	AGR	MF			MF	2	5,00 %	146		→	
AT00000AMAG3		AMAG Austria Metall AG	AMAG	MF			MF	2	5,00 %	73		→	
AT0000730007	X	Andritz AG	ANDR	MF	MF	MF	MF	4	1,30 %	63		→	
AT0000969985	X	AT & S Austria Technologie & Systemtechnik AG	ATS	MF	MF	MF	MF	4	1,70 %	51		→	
AT0000BAWAG2	X5	BAWAG Group AG	BG	MF	MF	MF	MF	4	1,30 %	59		→	
AT0000641352	X	CA Immobilien Anlagen AG	CAI	MF	MF	MF	MF	4	1,70 %	83		→	
AT0000818802	X	DO & CO AG	DOC	MF	MF	MF	MF	4	2,60 %	31		→	
AT0000652011	X5	Erste Group Bank AG	EBS	MF	MF	MF	MF	4	1,30 %	100		→	
AT0000741053	X	EVN AG	EVN	MF	MF	MF	MF	4	1,70 %	121		→	
AT00000FACC2		FACC AG	FACC	MF			MF	2	5,00 %	361		→	
AT00000VIE62		Flughafen Wien AG	FLU	MF			MF	2	5,00 %	76		→	
ATFREQUENT09		FREQUENTIS AG	FQT	MF			MF	2	5,00 %	84		→	
AT0000A21KS2	X	IMMOFINANZ AG	IIA	MF	MF	MF	MF	4	1,30 %	173		→	
AT000KAPSCH9		Kapsch TrafficCom AG	KTCG	MF			MF	2	5,00 %	193		→	
AT0000644505	X	Lenzing AG	LNZ	MF	MF	MF	MF	4	1,70 %	33		→	
ATMARINOMED6		Marinomed Biotech AG	MARI	MF			MF	2	5,00 %	37		→	
AT0000938204	X	Mayr-Melnhof Karton AG	MMK	MF	MF	MF	MF	4	2,60 %	16		→	
AT0000743059	X5	OMV AG	OMV	MF	MF	MF	MF	4	1,30 %	55		→	
AT0000APOST4	X	Österreichische Post AG	POST	MF	MF	MF	MF	4	1,70 %	93		→	
AT0000758305		PALFINGER AG	PAL	MF			MF	2	5,00 %	110		→	
AT0000KTMIO2		PIERER Mobility AG	PMAG	MF			MF	2	5,00 %	39		→	
AT0000A00XX9		POLYTEC Holding AG	PYT	MF			MF	2	5,00 %	432		→	
AT0000609607		Porr AG	POS	MF			MF	2	5,00 %	200		→	
AT0000606306	X	Raiffeisen Bank International AG	RBI	MF	MF	MF	MF	4	1,70 %	234		→	
AT0000922554		Rosenbauer International AG	ROS	MF			MF	2	5,00 %	71		→	
AT0000652250	X	S IMMO AG	SPI	MF	MF	MF	MF	4	1,70 %	111		→	
AT0000946652	X	SCHOELLER-BLECKMANN OILFIELD EQUIPMENT AG	SBO	MF	MF	MF	MF	4	2,60 %	45		→	
AT0000785555		Semperit AG Holding	SEM	MF			MF	2	3,60 %	133		→	
AT000000STR1		STRABAG SE	STR	MF			MF	2	3,60 %	62		→	
AT0000720008		Telekom Austria AG	TKA	MF			MF	2	2,60 %	391		→	
AT0000815402		UBM Development AG	UBS	MF			MF	2	5,00 %	75		→	
AT0000821103	X	UNIQA Insurance Group AG	UQA	MF	MF	MF	MF	4	1,70 %	369		→	
AT0000746409	X5	VERBUND AG	VER	MF	MF	MF	MF	4	1,30 %	27		→	
AT0000908504	X	VIENNA INSURANCE GROUP AG Wiener Versicherung Gruppe	VIG	MF	MF	MF	MF	4	1,70 %	114		→	
AT0000937503	X5	voestalpine AG	VOE	MF	MF	MF	MF	4	1,30 %	120		→	
AT0000827209		Warimpex Finanz- und Beteiligungs AG	WXF	MF			MF	2	5,00 %	2.748	€ 0,20	→	
AT0000831706	X	Wienerberger AG	WIE	MF	MF	MF	MF	4	1,30 %	123		→	
AT0000837307		Zumtobel Group AG	ZAG	MF			MF	2	5,00 %	362		→	
<b>TOTAL</b>			<b>39</b>	39	20	20	39	<b>118</b>					

X = ATX-Stocks  
X5 = ATXfive-Stocks

MF = MiFID Market Maker  
MF\* = Widerruf per Ablauf ...